



This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 605728



## ETHICAL ISSUES TABLE

### Postdoctoral Researchers International Mobility Experience (P.R.I.M.E.)<sup>1</sup>

All research funded under FP7/PEOPLE/COFUND has to comply with the relevant national, EU, and international ethics-related rules and professional codes of conduct.

#### The following ethical issues are of special relevance:

- Human Embryonic Stem Cell Research
- Research on Humans/ Informed Consent
- Privacy and Data Protection
- Research on Animals
- Research Involving ICPC Countries
- Dual Use

In order to ensure that this is the case we would like to ask you to fill in the Ethical Issues Table, which is based on the respective EU form<sup>2</sup>.

**For those ethical issues which apply to your project please mark the YES column and enter the page(s) of the research proposal where the respective ethical issue is described.**

Answering 'YES' to one or several boxes does not automatically result in a thorough ethical review, but in that case the P.R.I.M.E. committee will have to decide whether such a review is necessary and whether additional expertise is to be obtained.

- All research projects raising ethical issues will have to obtain approval from the relevant local/national ethical committee before the start of the research activities.<sup>3</sup>
- If the review and the discussion within the committee conclude that a violation of mandatory ethical standards does occur and cannot be prevented by minor changes of the experimental setup, the proposal will be rejected.
- If violations of the ethical principles have been identified but can easily be prevented by minor changes of the experimental setup or by not pursuing certain (non-essential) parts of the proposal, funding will be under the condition that these changes are implemented.
- If the ethical consequences of an otherwise positively reviewed project are not described adequately, the decision will be postponed until the requested clarification by the applicant is satisfactory.
- If a project includes the use of human Embryonic Stem Cells (hESC), DAAD will request an EU Ethics review and the agreement of the Marie Curie People Programme committee. The project may only be funded once the agreement has been communicated.

<sup>1</sup> Funded by the German Federal Ministry of Education and Research (BMBF) and co-funded by the Marie Curie Programme of the European Union (Co-funding of Regional, National, and International Programmes)

<sup>2</sup> Based on: The 2013 People Program, Guide for Applicants, Marie Curie Actions (Ethics), Version Number: 2013.1

<sup>3</sup> It is the institution where the research is conducted which has to give the approval. For example, if a project involves animal research to be conducted both at a German university and a foreign institution, it is the ethical committee of the German university who has to give the ethical approval. For the outgoing phase, the national and FP7 ethical rules have to be respected, whichever the stricter.

Research on Human Embryo/ Foetus	YES	Page
Does the proposed research involve human Embryos?	<input type="checkbox"/>	
Does the proposed research involve human Foetal Tissues/ Cells?	<input type="checkbox"/>	
Does the proposed research involve human Embryonic Stem Cells (hESCs)?	<input type="checkbox"/>	
Does the proposed research on human Embryonic Stem Cells involve cells in culture?	<input type="checkbox"/>	
Does the proposed research on human Embryonic Stem Cells involve the derivation of cells from Embryos?	<input type="checkbox"/>	
Research on Humans		
Does the proposed research involve children?	<input type="checkbox"/>	
Does the proposed research involve patients?	<input type="checkbox"/>	
Does the proposed research involve persons not able to give consent?	<input type="checkbox"/>	
Does the proposed research involve adult healthy volunteers?	<input type="checkbox"/>	
Does the proposed research involve human genetic material?	<input type="checkbox"/>	
Does the proposed research involve human biological samples?	<input type="checkbox"/>	
Does the proposed research involve human data collection?	<input type="checkbox"/>	
Privacy		
Does the proposed research involve processing of genetic information or personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?	<input type="checkbox"/>	
Does the proposed research involve tracking the location or observation of people?	<input type="checkbox"/>	
Research on Animals		
Does the proposed research involve research on animals?	<input type="checkbox"/>	
Are those animals transgenic small laboratory animals?	<input type="checkbox"/>	
Are those animals transgenic farm animals?	<input type="checkbox"/>	
Are those animals non-human primates?	<input type="checkbox"/>	
Are those animals cloned farm animals?	<input type="checkbox"/>	
Research Involving non-EU Countries (ICPC Countries <sup>4</sup> )		
Is the proposed research (or parts of it) going to take place in one or more of the ICPC Countries?	<input type="checkbox"/>	
Is any material used in the research (e.g. personal data, animal and/or human tissue samples, genetic material, live animals, etc) :	<input type="checkbox"/>	
a) Collected and processed in any of the ICPC countries?	<input type="checkbox"/>	
b) Exported to any other country (including ICPC and EU Member States)?	<input type="checkbox"/>	
Dual Use		
Research having direct military use	<input type="checkbox"/>	
Research having the potential for terrorist abuse	<input type="checkbox"/>	
AREAS EXCLUDED FROM FUNDING UNDER FP7 (ART. 6)		
Research activity aiming at human cloning for reproductive purposes	<input type="checkbox"/>	
Research activity intended to modify the genetic heritage of human beings which could make such changes heritable (Research relating to cancer treatment of the gonads can be financed)	<input type="checkbox"/>	
Research activities intended to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer	<input type="checkbox"/>	
<b>I CONFIRM THAT NONE OF THE ABOVE ISSUES APPLY TO MY PROPOSAL</b>	<input type="checkbox"/>	

Name: \_\_\_\_\_ Surname: \_\_\_\_\_

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

<sup>4</sup> In accordance with Article 12(1) of the Rules for Participation in FP7, 'International Cooperation Partner Country' (ICPC) means a third country which the Commission classifies as a low-income (L), lower-middle-income (LM) or upper-middle-income (UM) country. Countries associated to the Seventh EC Framework Programme do not qualify as ICP Countries and therefore do not appear in this list.